joint work with Hiram Lopez

Gretchen L. Matthews August 10, 2022

Virginia Tech Department of Mathematics Division of Computational Modeling & Data Analytics Hume Center for National Security & Technology supported by NSF DMS-2201075 and NSF DMS-1855136 Multivariate polynomials provide a generalization of classical Goppa codes and utility in several applications, including simultaneous protection against side channel attacks and fault injection attacts and quantum error correction.

Codes

Let \mathbb{F} be a finite field.

An [n, k, d] code C over \mathbb{F} is a k-dimensional subspace of \mathbb{F}^n with minimum distance

$$d = \min\{|\{i : c_i \neq c'_i\}| : c, c' \in C, c \neq c'\}.$$

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An [n, k, d] code C can

- correct any $\left\lfloor \frac{d-1}{2} \right\rfloor$ errors and
- recover any d-1 erasures.



The dual of an [n, k, d] code C over \mathbb{F}_q is

$$\mathcal{C}^{\perp} := \left\{ w \in \mathbb{F}_q^n : w \cdot c = 0 \,\, orall c \in \mathcal{C}
ight\}$$

which is an [n, n - k, d'] code.

Codes

A generator matrix for an [n, k, d] code C over \mathbb{F}_q is

$$\begin{bmatrix} - & c_1 & - \\ - & c_2 & - \\ \vdots & \\ - & c_k & - \end{bmatrix} \in \mathbb{F}_q^{k \times n}$$

where *C* is spanned by the codewords $c_1, \ldots, c_k \in \mathbb{F}_q^n$.

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A parity check matrix for C is any matrix $H \in \mathbb{F}_q^{(n-k) imes n}$ such that

$$Hc^T = 0 \ \forall c \in C.$$

Let
$$\mathbb{F}_q = \{\alpha_1, \ldots, \alpha_q\}.$$

 $C_k = \{(f(\alpha_1), f(\alpha_2), \dots, f(\alpha_n)) : f \in \mathbb{F}_q[x]_{< k}\} \subseteq \mathbb{F}_q^n.$

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generalized Reed-Solomon (GRS) code

$$C_{v,k} := \{ (v_1 f(\alpha_1), v_2 f(\alpha_2), \dots, v_n f(\alpha_n)) : f \in \mathbb{F}_q[x]_{< k} \} \subseteq \mathbb{F}_q^n$$

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In general,
$$C_k^{\perp} = C_{v,n-k}$$
 where $v_i := \left(\prod_{j \in [n] \setminus \{i\}} \alpha_i - \alpha_j\right)^{-1}$.

Codes from polynomials

Let
$$g \in \mathbb{F}_{q^t}[x]$$
 and $S = \{s_1, \dots, s_n\} \subseteq \mathbb{F}_{q^t}$. Assume $g(s_i) \neq 0 \ \forall i \in [n]$. Then

 $GRS(S, k, g) := \left\{ \left(g(s_1)^{-1} f(s_1), \dots, g(s_n)^{-1} f(s_n) \right) : f \in \mathbb{F}_{q^t}[x]_{< k} \right\}.$

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generalized Reed-Solomon (GRS) code via a Goppa code A GRS code via a Goppa code is of the form

GRS(S,g) := GRS(S, deg(g), g).

GRS codes via Goppa codes were introduced in 2021 by Y. Gao, Q. Yue, X. Huang, and J. Zhang.

Going multivariate

Write
$$\mathbb{F}_q^m := \{s_1, \ldots, s_n\}$$
 where $n = q^m$, and let $k \in \mathbb{Z}_+^m$.

Reed-Muller code

A Reed-Muller code is RM(q, m, k) :=

$$\{(f(s_1), f(s_2), \ldots, f(s_n)) : f \in \mathbb{F}_q[x_1, \ldots, x_m]_{< k}\} \subseteq \mathbb{F}_q^n.$$

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monomial Cartesian code Given $\mathcal{L} \subseteq \mathbb{F}_q[x_1, \dots, x_m]$ and $\mathcal{S} = \mathcal{S}_1 \times \dots \times \mathcal{S}_m = \{\alpha_1, \dots, \alpha_n\} \subseteq \mathbb{F}_q^m$, a monomial Cartesian code is

$$\{(f(\alpha_1), f(\alpha_2), \ldots, f(\alpha_n)) : f \in \mathcal{L}\} \subseteq \mathbb{F}_q^n.$$

code-based cryptosystem abstracted from (McEliece, 1978) Let C be an $[n, k, \ge 2t - 1]$ code with generator matrix G and efficient decoding algorithm D. Let S be a $k \times k$ random invertible matrix and P be an $n \times n$ random permutation matrix. Let $G^{PUB} = SGP$.

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Decryption 1. $m'P^{-1} =$ $mSGPP^{-1} + eP^{-1} =$ $mSG + eP^{-1}$ 2. Apply \mathcal{D} to recover mS

3. $mSS^{-1} = m$ 8

Consider a prime power q and $t \in \mathbb{Z}_+$ so that

 $\mathbb{F}_{q^t} \\ | \\ \mathbb{F}_q.$

Th subfield subcode of an [n, k, d] code $C \subseteq \mathbb{F}_{q^t}$ relative to $\mathbb{F}_{q^t}/\mathbb{F}_q$ is $C \models := C \cap \mathbb{F}^n$

$$C|_{\mathbb{F}_q} := C \cap \mathbb{F}_q''.$$

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The code $C|_{\mathbb{F}_q}$ is an $[n, \geq k - (t-1)(n-k), \geq d]$ code over \mathbb{F}_q .

The field trace with respect to the extension $\mathbb{F}_{q^t}^n/\mathbb{F}_q$ is defined as the map

$$tr \colon \mathbb{F}_{q^t} \to \mathbb{F}_q$$

 $a \mapsto a^{q^{t-1}} + \cdots + a^{q^0}.$

trace code

Given an [n, k, d] code $C \subseteq \mathbb{F}_{q^t}$,

$$tr(C) := \{(tr(c_1), \ldots, tr(c_n)) : (c_1, \ldots, c_n) \in C\} \subseteq \mathbb{F}_q^n$$

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tr(C) is an $[n, k^*, d^*]$ over \mathbb{F}_q , where $k \leq k^* \leq tk$ and $d^* \leq d$.

Delsarte's Theorem

$$(C_q)^{\perp} = tr\left(C^{\perp}\right).$$

Consider

$$g := g_1 \cdots g_m \in \mathbb{F}_{q^t}[\mathbf{x}] := \mathbb{F}_{q^t}[x_1, \dots, x_m],$$

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and $S := S_1 \times \cdots \times S_m \subseteq \mathbb{F}_{q^t}^m, \ S_i \neq \emptyset$. Let $S = \{s_1, \dots, s_n\} \subseteq \mathbb{F}_{q^t}^m$.

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Multivariate Goppa code

A multivariate Goppa code is $\Gamma(\mathcal{S},g) :=$

$$\left\{(c_1,\ldots,c_n)\in \mathbb{F}_q^n: \sum_{i=1}^n \frac{c_i}{\prod_{j=1}^m (x_j-s_{ij})}=0 \mod g(\boldsymbol{x})\right\}\subseteq \mathbb{F}_q^n.$$

Note:
$$\Gamma(\mathcal{S}, g) :=$$

$$\begin{cases}
\sum_{i=1}^{n} c_i \left[\prod_{i \in [n] \setminus \{i\}}^{n} \prod_{j=1}^{m} (x_j - s_{ij})\right] = g(x) \prod_{i=1}^{n} \left[\prod_{j=1}^{m} (x_j - s_{ij})\right] q(x) \\
c \in \mathbb{F}_q^n: \\
\text{for some } q(x) \in \mathbb{F}_{q^t}[x]
\end{cases}$$

(Classical) Goppa codes

Taking m = 1, we obtain the

$$\Gamma(\mathcal{S},g) = \left\{ (c_1,\ldots,c_n) \in \mathbb{F}_q^n : \sum_{i=1}^n \frac{c_i}{(x-s_i)} = 0 \mod g(x) \right\}$$

where $g(x) \in \mathbb{F}_{q^t}[x]$ and $\mathcal{S} = \{s_1, \dots, s_n\} \subseteq \mathbb{F}_{q^t}$.

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GRS codes via Goppa

Setting m = t = 1 gives the codes considered by Y. Gao, Q. Yue, X. Huang, and J. Zhang (2021):

$$\Gamma(\mathcal{S},g) = \left\{ (c_1,\ldots,c_n) \in \mathbb{F}_q^n : \sum_{i=1}^n \frac{c_i}{(x-s_i)} = 0 \mod g(x) \right\}$$

where $g(x) \in \mathbb{F}_q[x]$ and $\mathcal{S} = \{s_1, \dots, s_n\} \subseteq \mathbb{F}_q$.

Recall that

$$\Gamma(\mathcal{S},g) = \left\{ (c_1,\ldots,c_n) \in \mathbb{F}_q^n : \sum_{i=1}^n \frac{c_i}{\prod_{j=1}^m (x_j - s_{ij})} = 0 \mod g(\mathbf{x}) \right\}.$$

Note: $\Gamma(\mathcal{S},g)$ is a code over \mathbb{F}_q of length $n := |\mathcal{S}|$ where

$$\mathcal{S} \subseteq \mathbb{F}_{q^t}^m;$$

thus,

$$n \leq q^{tm}$$
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Larger values of t and m provides longer codes over the same field, compared with either classical Goppa codes or generalized Reed-Solomon (GRS) codes.

tensor product of generalized Reed-Solomon codes via Goppa codes

If
$$\mathcal{S} = S_1 \times \cdots \times S_m \subseteq \mathbb{F}_{q^t}^m$$
 and $g = g_1 \cdots g_m \in \mathbb{F}_{q^t}[x_1, \dots, x_m]$,

$$\mathsf{T}(\mathcal{S},g) := \bigotimes_{j=1}^m \mathsf{GRS}(S_j,g_j) \subseteq \mathbb{F}_{q^t}^n.$$

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 $\mathsf{T}(\mathcal{S},g)$ is an $[n,\deg(g),\prod_{j=1}^m(n_j-\deg(g_j)+1)]$ code over $\mathbb{F}_{q^t}.$

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 $\mathsf{T}(\mathcal{S},g)$ is an $[n, \deg(g), \prod_{j=1}^{m} (n_j - \deg(g_j) + 1)]$ code over \mathbb{F}_{q^t} . A generator matrix of $\mathsf{T}(\mathcal{S},g)$ is

$$\left(g(\boldsymbol{s}_i)^{-1} \boldsymbol{s}_i^{\boldsymbol{a}}\right)_{\boldsymbol{a},i} \in \mathbb{F}_{q^t}^{\deg(g) \times n}$$

where the rows are indexed by $\boldsymbol{a} \in \mathbb{N}^{\deg(g_1)-1 \times \cdots \times \deg(g_m)-1}$.

Theorem (parity check matrix representation)

If T is a generator matrix of $T(\mathcal{S},g)$, then

$$\Gamma(\mathcal{S},g) = \{ \boldsymbol{c} \in \mathbb{F}_q^n : \mathsf{T} \, \boldsymbol{c}^T = 0 \};$$

that is, a parity check matrix for the multivariate Goppa code is of the form

$$\left(g(\boldsymbol{s}_i)^{-1}\boldsymbol{s}_i^{\boldsymbol{a}}\right)_{\boldsymbol{a},i} \in \mathbb{F}_{q^t}^{\deg(g) imes n}.$$

Augmented codes

Consider $S = S_1 \times \cdots \times S_m \subseteq \mathbb{F}_{q^t}^m$, $L_j(x_j) := \prod_{s \in S_j} (x_j - s) \in \mathbb{F}_{q^t}[x_j]$ for each $j \in [m]$, and the product $L(\mathbf{x}) := \prod_{j=1}^m L'_j(x_j) \in \mathbb{F}_{q^t}[\mathbf{x}]$,

where $L'_i(x_j)$ denotes the formal derivative of $L_j(x_j)$.

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augmented Cartesian codes

Suppose $h \in \mathbb{F}_{q^t}[\mathbf{x}]$ is such that $h(\mathcal{S}) \neq 0$. An augmented Cartesian code is

$$ACar(\mathcal{S},h) := \left\{ \left(\frac{h}{L}(\boldsymbol{s}_1)f(\boldsymbol{s}_1), \ldots, \frac{h}{L}(\boldsymbol{s}_n)f(\boldsymbol{s}_n) \right) : f \in \mathcal{L}(\mathcal{A}_h) \right\},\$$

where

$$\mathcal{A}_h := \prod_{j=1}^m \{0,\ldots,n_j-1\} \setminus \prod_{j=1}^m \Big\{ n_j - \deg_{\times_j}(h),\ldots,n_j-1 \Big\}.$$

Example: Reed-Muller code

RM(7, 2, 4)



ARM(7, 2, 4)



Example: Augmented Cartesian code



Theorem (subfield subcode representation)

A multivariate Goppa code is a subfield subcode of an augmented Cartesian code, meaning

$$\Gamma(\mathcal{S},g) = ACar(\mathcal{S},g)_q.$$

Theorem

The dual of a multivariate Goppa code is

$$\Gamma(\mathcal{S},g)^{\perp} = tr(T(\mathcal{S},g)).$$

Theorem

The multivariate Goppa code $\Gamma(S,g)$ is an [n,k,d] code with

- length n = |S|.
- dimension k satisfying

$$n-t\deg(g) \le k \le n-\deg(g).$$

minimum distance

 $d \geq \min \left\{ \deg(g_j) + 1 \right\}_{j \in [m]}.$

Proposition

- $\Gamma(\mathcal{S}, gg') \subseteq \Gamma(\mathcal{S}, g).$
- $\Gamma(\mathcal{S},g) \cap \Gamma(\mathcal{S},g') = \Gamma(\mathcal{S}, \operatorname{lcm}(g,g')).$

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Hulls

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A code is

- self-orthogonal (meaning $C \subseteq C^{\perp}$) iff Hull(C) = C.
- self-dual (meaning $C = C^{\perp}$) iff $Hull(C) = C^{\perp}$
- linearly complementary dual (LCD) iff $Hull(C) = \{0\}$.

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Hulls play a role in the complexity of algorithms:

- Sendrier's support splitting algorithm is exponential in the dimension of a hull.
- Bardet, Otmani, and Saeed-Taha proved that the permutation code equivalence between codes C, C' ⊆ 𝔽ⁿ_q can be decided in O (hn^{w+dim(Hull(C))+1}t(n)) operations where t(n) is the complexity of deciding isomorphism of graphs on n vertices with weights from 𝔽_q.

Hulls of multivariate Goppa codes

Theorem

Given $g = g_1 \cdots g_m \in \mathbb{F}_{q^t}[\mathbf{x}]$, there exists $f = f_1 \cdots f_m \in \mathbb{F}_{q^t}[\mathbf{x}]$ such that

$$\mathsf{T}(\mathcal{S}, g)^{\perp} = \mathsf{T}(\mathcal{S}, f)$$

if and only for some $j^* \in [m]$ the following hold:

- deg $(g_{j^*}) \ge n_{j^*}/2,$
- $\deg(f_{j^*}g_{j^*})=n_{j^*},$
- $\deg(f_j) = \deg(g_j) = n_j$, for all $j \in [m] \setminus \{j^*\}$, and
- $\deg_{x_{j^*}}\left(\frac{fg}{L}\right) = 0.$

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- $\deg_{x_{j^*}}\left(\frac{fg}{L}\right) = 0.$

We say that f and g satisfying the theorem above satisfy condition (*).

Corollary

- Hull $(T(\mathcal{S},g)) = T(\mathcal{S}, gcd(f,g)) = Hull (ACar(\mathcal{S},g)).$
- $\Gamma(S, \operatorname{lcm}(f, g)) \subseteq \operatorname{Hull}(\Gamma(S, g))$, with equality when t = 1.

Corollary

- $\Gamma(S,g)$ is LCD if t = 1 and $\deg_{x_j}(\operatorname{lcm}(f,g)) \ge n_j$ for all $j \in [m]$.
- $\Gamma(S,g)$ is self-orthogonal if t = 1 and f divides g.
- $\Gamma(\mathcal{S},g)$ is self-dual if t = 1 and f = g.

Example: family of long LCD codes

Assume
$$\mathbb{F}_{3^2}^* = \langle a \rangle$$
. Let $S_1 := \{0, 1, a, a^7\}$ and $S_2 := \{1, a^5, a^7\}$.
Set

$$f_1 := x + 1,$$

$$g_1 := 2x^3 + a^5x^2 + a^5x + 1,$$

and

$$f_2 := g_2 := x^3 + ax^2 + 2x.$$

Then

 $f_1g_1 = 2L'_1 + 2L_1$ and $f_2g_2 = a^2L'_2 + pL_2$, where $p(x) = x^3 + a^5x^2 + a^2x + a^6$. Set $g := g_1(x)g_2(x_1)\cdots g_2(x_m)$. Then $\Gamma(\mathcal{S}, g)^{\perp}$ is a $[4 \cdot 3^m, 3^{m+1}]$ LCD code over \mathbb{F}_9 .

Example: family of long self-orthogonal codes

Assume
$$\mathbb{F}_{3^2}^* = \langle a \rangle$$
. Let $S_1 := \{0, 1, 2, a\}$ and $S_2 := \{1, a^5, a^7\}$. Set
 $f_1 := ax^3 + 2x^2 + a^7x + a,$ $g_1 := a^2x + 1,$

and

$$f_2 := g_2 := x^3 + ax^2 + 2x.$$

Then

 $f_1g_1 = L'_1 + a^3L_1 \quad \text{and} \quad f_2g_2 = a^2L'_2 + pL_2,$ where $p(x) = x^3 + a^5x^2 + a^2x + a^6$. Set $g := g_1(x)g_2(x_1)\cdots g_2(x_m).$ Then $\Gamma(\mathcal{S}, g)^{\perp}$ is a $[4 \cdot 3^m, 3^m]$ self-orthogonal code over \mathbb{F}_9 . **Lemma** [K. Guenda, S. Jitman, and T. A. Gulliver, 2018] Given an [n, k, d] code *C* over \mathbb{F}_q , there exist EAQECCs with parameters

$$[[n, k - \dim(Hull(C)), d, n - k - \dim(Hull(C))]]_q \text{ and} \\ [[n, n - k - \dim(Hull(C)), d(C^{\perp}), k - \dim(Hull(C))]]_q.$$

q-ary EAQECCs from multivariate Goppa codes

Theorem

Let $S \subseteq \mathbb{F}_{q^t}^m$, g and f satisfy condition (*). Then the code T(S,g) gives rise to EAQECCs with parameters

$$\begin{split} & [[n, \deg\left(g\right) - \deg\left(\gcd\right), \deg(f_{j^*}) + 1; \deg\left(f\right) - \deg\left(\gcd\right)]]_{q^t} \quad \text{ and } \\ & [[n, \deg\left(f\right) - \deg\left(\gcd\right), \deg(g_{j^*}) + 1; \deg\left(g\right) - \deg\left(\gcd\right)]]_{q^t}, \end{split}$$

where gcd := gcd(g, g'). The code $\Gamma(S, g)$ gives rise to EAQECCs with parameters

$$\begin{split} & [[n, \leq t(\deg(\mathsf{lcm}) + \deg(g)) - n, \geq \deg(f_{j*}) + 1; \leq t\deg(\mathsf{lcm}) - \deg(g)]]_q \quad \text{and} \\ & [[n, \leq t\deg(\mathsf{lcm}) - \deg(g), \geq \deg(g_{j*}) + 1; \leq t(\deg(\mathsf{lcm}) + \deg(g)) - n]]_q, \end{split}$$

where lcm := lcm(g, g'), and equalities in the parameters of the codes when t = 1.

Corollary

Let $S \subseteq \mathbb{F}_q^m$, g and f satisfy condition (*). Then the code T(S, g) gives rise to an MDS EAQECC.

q-ary EAQECCs from multivariate Goppa codes

2*Field	2* <i>S</i>	$2^{*}g(x,y)$	Puncturing $\Gamma(\mathcal{S}, \})^{\perp}$	2*Parameters
			the following entries	
F ₈	$\mathbb{F}_8 imes \{a^1, a^2\}$	$\left(x^3 + x + a\right)(y)$	$\{8, \dots, 15\}$	[[8, 2, 6; 6]] ₈
\mathbb{F}_8	$\mathbb{F}_8 imes \{a^1, a^2\}$	$\left(x^3 + x + a\right)(y)$	$\{10,\ldots,16\}$	[[9, 2, 7; 7]] ₈
F ₈	$\mathbb{F}_8 imes \{a^1, a^2\}$	$\left(x^3 + x + a\right)(y)$	$\{11,\ldots,16\}$	[[10, 2, 8; 8]] ₈
F ₈	$\mathbb{F}_8 imes \{a^1, a^2\}$	$\left(x^3 + x + a\right)(y)$	$\{12, \dots, 16\}$	[[11, 2, 9; 9]] ₈
F ₁₆	$\mathbb{F}_{16} imes \{a^1, a^2\}$	$\left(x^3 + a\right)(y)$	$\{19, \dots, 32\}$	$[[18, 2, 16; 16]]_{16}$
F ₁₆	$\mathbb{F}_{16} imes \{a^1, a^2\}$	$\left(x^3 + a\right)(y)$	$\{21, \dots, 32\}$	[[20, 2, 18; 18]] ₁₆
\mathbb{F}_{16}	$\mathbb{F}_{16} imes \{a^1, a^2\}$	$\left(x^3 + a\right)(y)$	$\{23,\ldots,32\}$	[[22, 2, 20; 20]] ₁₆
F16	$\mathbb{F}_{16} imes \{a^1, a^2\}$	$(x^4 + x^2 + ax + a^2)(y)$	$\{26,\ldots,32\}$	$[[25, 3, 21; 20]]_{16}$
\mathbb{F}_{16}	$\mathbb{F}_{16} imes \{a^1, a^2\}$	$(x^4 + x^2 + ax + a^2)(y)$	$\{28, \dots, 32\}$	$[[27, 3, 23; 24]]_{16}$
F16	$\mathbb{F}_{16} imes \{a^1, a^2\}$	$(x^4 + x^2 + ax + a^2)(y)$	$\{30, \dots, 32\}$	$[[29, 3, 25; 26]]_{16}$
F ₁₆	$\mathbb{F}_{16} imes \{a^1, a^2\}$	$(x^4 + x^2 + ax + a^2)(y)$	{32}	[[31, 3, 27; 28]] ₁₆
F ₂₅	$\mathbb{F}_{25} \times \{a^1, a^2, a^3\}$	$\left(x^4 + a\right)(y)$	$\{60, \dots, 75\}$	$[[59, 3, 53; 56]]_{25}$
F49	$\mathbb{F}_{49} \times \{a^1, \dots, a^4\}$	$\left(x^4 + a\right)(y)$	$\{168, \dots, 196\}$	$[[167, 3, 159; 164]]_{49}$
F49	$\mathbb{F}_{49} \times \{a^1, \ldots, a^4\}$	$(x^4 + a)(y)$	$\{175, \ldots, 196\}$	[[174, 3, 166; 171]] ₄₉

Table 1: New EAQECCs. For every row, we assume that $\mathbb{F}_q^* = \langle a \rangle$.

Family of long EAQECCs

Assume $\mathbb{F}_{3^2}^* = \langle a \rangle$. Take $S_1 := \{0, 1, a, a^7\}$ and $S_2 := \{1, a^6\}$. Define the polynomials $f_1 := ax + 1$, $g_1 := x^3 + a^6x^2 + 1$, $f_2 := x^2 + a^2x + 2$, and $g_2 := x^2 + a^2$. Then

 $f_1g_1 = 2L'_1 + aL_1$ and $f_2g_2 = a^2L'_2 + pL_2$,

where $p(x) = x^2 + a^7 x + a$. Then, for every $m \ge 0$, define the polynomials in m + 1 variables $f := f_1(x)f_2(x_1)\cdots f_2(x_m), g := g_1(x)g_2(x_1)\cdots g_2(x_m) \in \mathbb{F}_9[x_1,\ldots,x_m]$. Since gcd(f,g) = 1, $deg(f) = 2^m$, and $deg(g) = 3 \cdot 2^m$, there exists a $[[4 \cdot 2^m, 2^m, 4; 3 \cdot 2^m]]$ EAQECC over \mathbb{F}_9 . Note that when m = 0, this is an MDS EAQECC over \mathbb{F}_9 . Larger values of m give rise to longer codes (of length 2^{m+2}) over \mathbb{F}_9 that are not MDS but have a known gap to the Singleton Bound.

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